

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT EXAMINING OPERATION**

Applicant(s): PETER WILLIAM SURMAN

Serial No: 10/561,930

Group Art Unit: 1618

Filed: 05-23-2006

Examiner: VU, JAKE MINH

Att. Docket No.: D2026/20001

Confirmation No.: 3207

For: STABLE CLOZAPINE SUSPENSION FORMULATION

**IDS CERTIFICATION STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the concurrently filed Form PTO/SB/08a. Unless otherwise indicated herein, one copy of each reference is submitted herewith. No copies of U.S. patents or U.S. patent application publications are submitted herewith. 37 CFR § 1.98(a)(2)(ii). It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. No representation is made that the reference(s) is/are prior art with respect to this application.

**CERTIFICATE OF MAILING/TRANSMISSION PURSUANT TO 37 CFR 1.8**

I hereby certify that this correspondence and any attachments referenced therein is/are being mailed/transmitted to the USPTO by: (A) first class U.S. mail with sufficient postage (37 CFR § 1.1(a)); (B) facsimile (37 CFR § 1.6 (d)); or (C) EFS-Web (37 CFR § 1.6(a)(4)) on the date shown below.

Date: 8/10/2010

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Joseph F. Murphy

This Information Disclosure Statement is being filed after the period specified in 37 CFR § 1.97(b), but before the mailing date of any of a final action under 37 CFR § 1.113, a Notice of Allowance under 37 CFR § 1.311 or an action that otherwise closes prosecution in the application. Authorization to charge the additional fee under 37 CFR § 1.17(p) is granted in the concurrently filed Fee Transmittal Form.

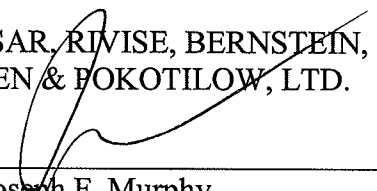
August 10, 2010

Please charge or credit our  
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Respectfully submitted,

CAESAR, RIVISE, BERNSTEIN,  
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By

  
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